

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to:  
MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: November 3, 2006

Signature: *Dawn Class*

(Dawn Class)

Docket No.: CSHL-P01-012  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Mittal et al.

Application No.: 10/763,479

Confirmation No.: 7041

Filed: January 23, 2004

Art Unit: 1633

For: REGULATED POLYMERASE III EXPRESSION  
SYSTEMS AND RELATED METHODS

Examiner: Maria Marvich

**TRANSMITTAL OF SUBSTITUTE SEQUENCE LISTING**

MS Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:


This substitute Sequence Listing is submitted in response to the Notice to Comply mailed from the Patent Office on October 4, 2006. A copy of the Notice is attached.

Transmitted herewith is a copy of a Substitute Sequence Listing in paper form (sheets 1/6 through 6/6) comprising SEQ ID NOS: 1-11 for the above-identified patent application as required by 37 C.F.R. 1.825(a) and 1.821(c), and a copy of the Substitute Sequence Listing in computer readable form as required by 37 C.F.R. 1.825(b) and 1.821(e). Please replace the Sequence Listing filed on December 20, 2004 with the attached Substitute Sequence Listing.

As required by 37 C.F.R. 1.825(b), Applicants' Attorney hereby states that the contents of the Substitute Sequence Listing in paper form and in the computer readable form submitted herewith are the same and, as required by 37 C.F.R. 1.825(a), also states that the submission includes no new matter.

Dated: November 3, 2006

Respectfully submitted,

By 

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